

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/015,598	12/17/2001	Makoto Shizukuishi	108235-00001	4907	
7590 08/02/2006			EXAMINER		
ARENT FOX	ARENT FOX KINTNER			YODER III, CHRISS S	
PLOTKIN & K.	AHN, PLLC				
Suite 600			ART UNIT	PAPER NUMBER	
1050 Connecticut Avenue, N.W.			2622		
Washington, DC 20036-5339			D . TTT	_	

DATE MAILED: 08/02/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Application No. Applicant(s) **Advisory Action** 10/015,598 SHIZUKUISHI, MAKOTO Before the Filing of an Appeal Brief Art Unit Examiner 2622 Chriss S. Yoder, III -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

AMENDMENTS
3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because
(a)⊠ They raise new issues that would require further consideration and/or search (see NOTE below);
(b) ☐ They raise the issue of new matter (see NOTE below);
(c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
(d) They present additional claims without canceling a corresponding number of finally rejected claims.
NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).
4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
5. Applicant's reply has overcome the following rejection(s):
6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling
the non-allowable claim(s).
7. 🛛 For purposes of appeal, the proposed amendment(s): a) 🖾 will not be entered, or b) 🗌 will be entered and an explanation of
how the new or amended claims would be rejected is provided below or appended.
The status of the claim(s) is (or will be) as follows:
Claim(s) allowed: <u>2</u> .

THE REPLY FILED 17 July 2006 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.
1. X The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of
this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which
places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the
following time periods:
a) The period for reply expires 3 months from the mailing date of the final rejection.
b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.
Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).
Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have
been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b)
above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any
earned patent term adjustment. See 37 CFR 1.704(b). NOTICE OF AP <u>PEAL</u>
2. The Notice of Appeal was filed on A brief in compliance with 37 CFR 41.37 must be filed within two months of the date
of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal.
Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).
<u>AMENDMENTS</u>
3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because
<ul> <li>(a) ☐ They raise new issues that would require further consideration and/or search (see NOTE below);</li> <li>(b) ☐ They raise the issue of new matter (see NOTE below);</li> </ul>
(b) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for
appeal; and/or
(d) They present additional claims without canceling a corresponding number of finally rejected claims.
NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).
4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).  5. Applicant's reply has overcome the following rejection(s):
6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling
the non-allowable claim(s).
7. 🔯 For purposes of appeal, the proposed amendment(s): a) 🔯 will not be entered, or b) 🔲 will be entered and an explanation of
how the new or amended claims would be rejected is provided below or appended.
The status of the claim(s) is (or will be) as follows: Claim(s) allowed: <u>2</u> .
Claim(s) objected to:
Claim(s) rejected: 1,3-6, 8, 10, 12, 14, 16, 18, 20, 22, 24, and 26.
Claim(s) withdrawn from consideration:
AFFIDAVIT OR OTHER EVIDENCE
8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary
and was not earlier presented. See 37 CFR 1.116(e).
9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be
entered because the affidavit or other evidence failed to overcome <u>all</u> rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.
REQUEST FOR RECONSIDERATION/OTHER
11. The request for reconsideration has been considered but does NOT place the application in condition for allowance because:
12. Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s).
13. Other:

## **Continuation Sheet (PTOL-303)**

Application No.

Continuation of 3. NOTE:

The amendment to claims 3-6, create new dependency issues within the claim group, and as such, and would therefore require further search and/or consideration.

The amendment to claim 8, adding the limitation of "the spacing between the monolayer electrodes above the transfer registers is made narrower than the spacing between the monolayer electrodes above isolation regions for electrically isolating the transfer resisters adjacent to each other", was not previously present in this claim, and would therefore require further search and/or consideration..

VIVEK SRIVASTAVA PRIMARY EXAMINER